

**JCS25 U.S. PTO**

02-15-02

2007-2008 4

A

**DOCKET NO. : MSFT-0738/183225.1**

## PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

Flora Goldthwaite, Holly Serdy and Jonathan Cluts

**Serial No.:** Not yet assigned

**Group Art Unit:** Not yet assigned

**Filing Date:** Herewith

**Examiner:** Not yet assigned

**For: METHODS AND SYSTEMS FOR PROVIDING A DISTRIBUTED DATABASE STORE WITH CORRELATION SERVICE**

**EXPRESS MAIL LABEL NO: EL695382520US**

DATE OF DEPOSIT: February 13, 2002

EL695382520US

Box ☒ Patent Application  
☐ Sequence ☐ Design

Commissioner for Patents  
Washington, DC 20231

Sir:

# PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find

☒ A Utility Patent Application under 37 C.F.R. 1.53(b).

It is a continuing application, as follows:

☐ continuation    ☐ divisional    ☐ continuation-in-part of prior application number

☐ A Design Patent Application (submitted in duplicate).

☐ Request for Nonpublication is attached.

jc978 US, PTO  
10/075519  
02/13/02

DOCKET NO. : MSFT-0738/183225.1

- 2 -

PATENT

Including the following:

- ☒ New or Revised Specification, including pages 1 to 22 containing:
- ☒ Specification
  - ☒ Claims
  - ☒ Abstract
  - ☐ Substitute Specification, including Claims and Abstract.
- ☐ The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Since none of those amendments incorporate new matter into the parent application, the present revised Specification also does not include new matter.
- ☐ The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_, which in turn is a continuation-in-part of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Although the amendments in the parent C-I-P application may have incorporated new matter, since those are the only revisions included in the present application, the present application includes no new matter in relation to the parent application.
- ☐ A copy of earlier application Serial No. \_\_\_\_\_ Filed \_\_\_\_\_, including Specification, Claims and Abstract (pages 1 - @@), to which no new matter has been added TOGETHER WITH a copy of the executed oath or declaration for such earlier application and all drawings and appendices. Such earlier application is hereby incorporated into the present application by reference.
- ☐ Please enter the following amendment to the Specification under the Cross-Reference to Related Applications section (or create such a section) : "This Application:
- ☐ is a continuation of ☐ is a divisional of ☐ claims benefit of U.S. provisional Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.

- ☐ Signed Statement attached deleting inventor(s) named in the prior application.
- ☐ A Preliminary Amendment.
- ☒ 9 Sheets of Formal Drawings (Three (3) sets).
- ☒ Drawing view to publish: 4
- ☒ Petition to Accept Color Drawings.
- ☒ Petition Fee - \$130 to be charged to the Deposit Account No. 23-3050.
- ☒ An ☐ Executed ☒ Unexecuted Declaration or Oath and Power of Attorney.
- ☐ An Associate Power of Attorney.
- ☐ An ☐ Executed ☐ Copy of Executed Assignment of the Invention to \_\_\_\_\_
- ☐ A Recordation Form Cover Sheet.
- ☐ Recordation Fee - \$40.00.
- ☐ The prior application is assigned of record to \_\_\_\_\_
- ☐ Priority is claimed under 35 U.S.C. § 119 of Patent Application No. \_\_\_\_\_  
filed \_\_\_\_\_ in \_\_\_\_\_ (country).
- ☐ A Certified Copy of each of the above applications for which priority is claimed:
- ☐ is enclosed.
- ☐ has been filed in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.
- ☐ Please enter the following amendment to the first sentence of the specification (or create such a sentence): "This application claims benefit of international application No. \_\_\_\_\_, which was published under PCT Article 21(2) in English."

DOCKET NO. : MSFT-0738/183225.1

- 4 -

PATENT

- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 C.F.R. §1.27 as:
- ☐ an Independent Inventor
  - ☐ a Small Business Concern
  - ☐ a Nonprofit Organization.
- ☐ Diskette Containing DNA/Amino Acid Sequence Information.
- ☐ Statement to Support Submission of DNA/Amino Acid Sequence Information.
- ☐ Sequence listing consisting of pages \_\_\_\_\_.
- ☐ The computer readable form in this application \_\_\_\_\_, is identical with that filed in Application Serial Number \_\_\_\_\_, filed \_\_\_\_\_. In accordance with 37 CFR 1.821(e), please use the ☐ first-filed, ☐ last-filed or ☐ only computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing is ☐ included in the originally-filed specification of the instant application, ☐ included in a separately filed preliminary amendment for incorporation into the specification.
- ☒ Information Disclosure Statement.
- ☒ Attached Form 1449.
  - ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ A copy of Petition for Extension of Time as filed in the prior case.
- ☐ Appended Material as follows: \_\_\_\_\_.

☒ Return Receipt Postcard (should be specifically itemized).

☐ Other as follows: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**FEE CALCULATION:**

☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

				SMALL ENTITY		NOT SMALL ENTITY	
				RATE	FEE	RATE	FEE
DESIGN APPLICATION				\$165.00	\$	\$330.00	\$
UTILITY APPLICATIONS BASE FEE				\$370.00	\$	\$740.00	\$740
UTILITY APPLICATION; ALL CLAIMS CALCULATED AFTER ENTRY OF ALL AMENDMENTS							
	No. Filed	No. Extra					
TOTAL CLAIMS	32- 20 =	12		\$9 each	\$	\$18 each	\$216
INDEP. CLAIMS	3- 3 =	0		\$42 each	\$	\$84 each	\$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				\$140	\$	\$280	\$
ADDITIONAL FILING FEE					\$		\$
TOTAL FILING FEE DUE					\$		\$956

☐ A Check is enclosed in the amount of \$\_\_\_\_\_.


☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.

☒ The foregoing amount due.

- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: 2/13/02

  
Michael J. Swope  
Registration No. 38,041

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

DOCKET NO.: MSFT-0738/183225.1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Flora Goldthwaite, Holly Serdy, Jonathan Cluts

Serial No.: Not yet assigned

Group Art Unit: Not yet assigned

Filing Date: Herewith

Examiner: Not yet assigned

For: METHODS AND SYSTEMS FOR PROVIDING A DISTRIBUTED DATABASE  
STORE WITH CORRELATION SERVICE

DATE OF DEPOSIT. 2/13/02

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS  
MAIL #EL695382520US ON THE DATE INDICATED ABOVE  
AND IS ADDRESSED TO THE COMMISSIONER FOR  
PATENTS, WASHINGTON, DC 20231

TYPED NAME: Michael J. Swope  
REGISTRATION NO. 38,041

Commissioner for Patents  
Washington DC 20231

PETITION TO ACCEPT COLOR DRAWING(S)/PHOTOGRAPH(S)  
(37 C.F.R. 1.84 (a) and(b))

1. Petition is hereby made to accept drawing(s)/photograph(s) in this case.
2. Three (3) sets of color drawing(s)/photograph(s)  
☒ are submitted herewith.  
☐ have already been submitted in this application on
3. The reason(s) why color drawing(s)/photograph(s) is/are necessary in this case is/are as follows:

DOCKET NO.: MSFT-0738/183225.1

- 2 -

PATENT

4. ☒ Please amend the first paragraph in that portion of the Brief Description of the Drawings at Page 2, Line 23 to read as follows:

-- The file of this patent contains at least one drawing/photograph executed in color. Copies of this patent with color drawing(s)/photograph(s) will be provided by the Office upon request and payment of the necessary fee.--

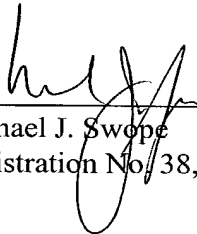
5. The enclosed color drawing(s) photograph(s) each bear the Title, Inventor Name(s), Application No., and Docket No. of the application on the front of the respective document.

5. The petition fee (37 C.F.R. 1.17(h)) is paid as follows:

☐ A check in the amount of \$130.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. A duplicate of this Petition is attached.

☒ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$130.00.

Date: 2/13/02

  
\_\_\_\_\_  
Michael J. Swope  
Registration No. 38,041

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439